33 Rec'd PCT/PTO 02 MAR 2005

Re<del>ceipt date</del> US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER FORM PTO-1390 (REV. 01-2003) 116952 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/561,165 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. June 18, 2004 June 27, 2003 PCT/EP2004/006601 TITLE OF INVENTION POLYMERIZATION PROCESS FOR PREPARING (CO)POLYMERS APPLICANTS FOR DO/EO/US Johannes Jacobus Theodorus DE JONG et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 1. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2.  $\boxtimes$ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and (21) indicated below. 4 The US has been elected (Article 31). ш A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5 a.  $\square$  is attached hereto (required only if not communicated by the International Bureau). b.  $\square$  has been communicated by the International Bureau. c.  $\square$  is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) 6. a. 

is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d)(4). c. The International Application was filed in English. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. a.  $\square$  are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c.  $\square$  have not been made; however, the time limit for making such amendments has NOT expired. d. 

have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 9. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 10. (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information:

Receipt date: 03/02/2006

U.S. APPLICATION NO. (if known, see 37 C.F.I 10/561,165	see 37 C.F.R. 1.5) INTERNATIONAL APPLICATI PCT/EP2004/006601		TON NO.	ATTORNEY'S DOCKET NUMBER	
21. The following fees are submitted:			CALCULATIONS	PTO USE ONLY	
21. The following fees are submitted.					
BASIC NATIONAL FEE (37 CFR 1.492(a)):				\$	
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):				\$	
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the				:	
national stage\$ 0.00					
International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA \$ 100.00					
International search report provided to USPTO no later than the time at which the search fee is paid					
All situations not provided for above\$ 500.00					
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):				\$	
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage					
All situations not provided for above \$200.00					
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
100 ( - 100)	÷ 50	= †	x 250 =	\$	
†round up to next integer					
	ER FILED	NUMBER EXTRA	RATE x 50.00 =	\$ \$	
	- 20	=	x 200.00 =	\$	
MULTIPLE DEPENDENT CLAIM(S)			+ 360.00 =	\$	
TOTAL OF ABOVE CALCULATIONS =			\$		
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are				\$	
reduced by ½ .			\$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from				\$	
the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be				\$	
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =				\$	
				Amount to be refunded:	\$
				charged:	\$
a.					
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.					
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b))					
must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
OLIFF & BERRIDGE, PLC  Customer Number: 25944  NAME: William Berridge					
REGISTRATION NUMBER: 30,024					
Date March 2, 2006 NAME: Jesse O. Collier REGISTRATION NUMBER: 53,839					
			REGISTRATIO	JIN NUMBER. 33,0	,,,,
					,

Receipt date: 03/02/2006

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Johannes Jacobus Theodorus DE JONG et al.

Application No.: 10/561,165

Filed: January 31, 2006 Docket No.: 116952

For: POLYMERIZATION PROCESS FOR PREPARING (CO)POLYMERS

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- 2. Relevance of one or more reference is discussed in the present specification. See Reference 2 & 11.
- 3. In accordance with 37 CFR §1.98(a)(2)(ii), copies of any U.S. patents and patent application publications are not attached.
- 4. One or more co-pending U.S. patent application is identified on the attached Form PTO 1449. The Examiner is respectfully requested to consider each cited application and the art cited therein during examination of the present application.
- 5. An English language Abstract of one or more non-English language reference is attached hereto. See Reference 15.
- 6. A computer-generated English language translation of one or more Japanese Patent Publication cited herein has been obtained from the website of the Japanese Patent Office ([http://www.jpo.go.jp]), and is attached, but has not been reviewed for accuracy. See Reference 15.
- 7. Reference 2 corresponds to Reference 14.

Respectfully submitted,

William P. Berridge Registration No. 30,024

Jesse O. Collier

Registration No. 53,839

WPB:JOC/yxm
Date: March 2, 2006
OLIFF & BERRIDGE, PLC
P.O. Box 19928

Alexandria, Virginia 22320 Telephone: (703) 836-6400 DEPOSIT ACCOUNT USE
AUTHORIZATION
Please grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461

Receipt date: 03/02/2006

APPLICATION NO. US Dept. of Commerce ATTY DOCKET NO. Form PTO-1449 PATENT & TRADEMARK OFFICE 10/561,165 116952 (REV. 1/06) INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) APPLICANTS Johannes Jacobus Theodorus DE JONG et al. FILING DATE January 31, 2006 U.S. PATENT DOCUMENTS Examiner Cite Name Initials No. Document Number Date 02/13/1872 Stock /FT/ US 123,591 1 /FT/ Vanduffel et al. 2 US 60/390,799 06/20/2002 /FT/ 07/30/1996 Sanchez 3 US 5,541,151 08/19/2004 Hagel et al. 4 US 2004/0162438 5 US 10/411,182 10/23/2003 Westmijze et al. /FT/ 6 US 10/497,993 04/14/2005 Meulenbrugge et al. Boevenbrink et al. 7 10/06/2005 US 10/552,437 /FT/ Westmijze et al. 10/19/2005 /FT/ 8 US 10/553,971 9 US 10/498,542 03/10/2005 Westmijze et al. /FT/ 12/07/2005 10 US 10/559,775 Meulenbrugge et al. FOREIGN PATENT DOCUMENTS With With Examiner Cite English English Country Initials No. Document Number Date Abstract Translation European Patent Office 12/03/1997 /FT/ 11 EP 0 810 213 A2 07/15/1998 European Patent Office EP 0 853 082 A1 12 07/04/2002 WIPO WO 02/051802 A1 13 /FT/ 14 WO 2004/000799 A1 12/31/2003 WIPO Х Х /FT/ 09/10/2003 Japan 15 JP A 2003-252920 OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.) Examiner Cite Initials No. DATE CONSIDERED **EXAMINER** /Fred Teskin/ 03/19/2009 Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance Examiner: and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1

Date: March 2, 2006